

# Interpolating sparse polynomials

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To the memory of Andreas Weber

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# Lagrangian interpolation

Let  $f = \sum_{0 \leq i \leq d} a_i X^i$  be a polynomial of degree  $d$ . Let  $y_0, \dots, y_d$  be pairwise distinct.

**Interpolation:** how to restore  $f$  from values  $f(y_0), \dots, f(y_d)$ ?

So, we always assume that  $f$  is given by a black-box allowing to calculate  $f$  at a given point.

$$f = \sum_{0 \leq j \leq d} f(y_j) \cdot \frac{(X - y_0) \cdots (X - y_{j-1})(X - y_{j+1}) \cdots (X - y_d)}{(y_j - y_0) \cdots (y_j - y_{j-1})(y_j - y_{j+1}) \cdots (y_j - y_d)}.$$

Proof. The values of two polynomials both of degrees  $d$  in the left-hand and right-hand sides are equal at  $d + 1$  points  $y_0, \dots, y_d$ , therefore, these two polynomials coincide.

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# Sparse polynomials

Polynomial  $f = \sum_{1 \leq i \leq t} a_i X^{b_i}$  is ***t*-sparse**.

Informally: the number  $t$  of monomials is much smaller than  $\deg(f) = \max_{1 \leq i \leq t} \{b_i\}$ .

How to interpolate  $f$  better than Lagrangian interpolation whose complexity depends on  $\deg(f)$  (rather than on  $t$ )?

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# Eigen-values and eigen-functions

$D$  is a linear operator on a space of functions (for example, complex or real).

Assume that for any eigen-value  $\lambda$  of  $D$  the eigen-space  $E_\lambda := \{u : Du = \lambda u\}$  has dimension  $\dim(E_\lambda) = 1$ .

Assume also that there exists a constant  $c$  (complex or real) such that  $u(c) \neq 0$  for any eigen-value  $\lambda$  and any eigen-function  $0 \neq u \in E_\lambda$ .

Pick an arbitrary  $0 \neq u_0 \in E_\lambda$ , then  $E_\lambda = \{u = au_0 : a \in \mathbb{C} \text{ or } a \in \mathbb{R}\}$ , and thereby, it suffices to have a value  $u(c)$  to find  $a = u(c)/u_0(c)$ .

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# Interpolating sparse eigen-functions

Function  $f$  is  **$t$ -sparse** (with respect to operator  $D$ ) if  $f = u_1 + \dots + u_t$  where  $u_1, \dots, u_t$  are eigen-functions of  $D$  (with some eigen-values  $\lambda_1, \dots, \lambda_t$ , respectively).

Our goal is to interpolate  $t$ -sparse function knowing just  $t$ .

Assume that having a black-box for  $f$  we have also a black-box for  $Df$ .

Involving the black-boxes the algorithm calculates  $f(c)$ ,  $(Df)(c)$ ,  $(D^2f)(c)$ ,  $\dots$ ,  $(D^{2t}f)(c)$ .

Note that  $(D^i f) = \sum_{1 \leq j \leq t} \lambda_j^i u_j$ , hence  $(D^i f)(c) = \sum_{1 \leq j \leq t} \lambda_j^i u_j(c)$ .

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# Generalized Wronskian

Consider the following  $t \times t$  Wronskian (being a Hankel matrix)

$$W_t = \begin{pmatrix} f(c) & (D^1 f)(c) & \cdots & (D^{t-1} f)(c) \\ (D^1 f)(c) & (D^2 f)(c) & \cdots & (D^t f)(c) \\ \cdots & \cdots & \cdots & \cdots \\ (D^{t-1} f)(c) & (D^t f)(c) & \cdots & (D^{2t-2} f)(c) \end{pmatrix} =$$

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The first (and the third) matrices in the right-hand side are Vandermonde (and its transposed) matrices, therefore  $W_t$  is non-singular.

Consider (a unique) polynomial  $g = X^t + \sum_{0 \leq i < t} e_i X^i$  with the roots  $\lambda_1, \dots, \lambda_t$ . Then  $W_{t+1} \cdot (e_0, \dots, e_{t-1}, 1)^T = 0$ . Hence  $\text{rank}(W_{t+1}) = t$  since  $W_{t+1}$  contains  $W_t$  as a submatrix in the upper left corner and  $W_t$  is non-singular, thus  $(e_0, \dots, e_{t-1}, 1)$  is the unique (normalized)

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The algorithm (due to G.-Karpinski-Singer) finds

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# Applications

## Sparse polynomial interpolation in Pochhammer basis

Linear operator  $(Df)(X) := X(f(X) - f(X - 1))$  on the linear space of polynomials with the Pochhammer basis of the eigen-function of  $D$  being  $u_k = X(X - 1)(X - 2) \cdots (X - k + 1)$ ,  $k \geq 0$  with eigen-values  $k$ .

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Linear operator  $Df := f''$  acts as the second derivative on the space of continuous functions with the Fourier basis of eigen-functions  $\sin(kX)$ ,  $k \geq 1$  of  $D$  with eigen-values  $-k^2$ .

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# Further developments

## Interpolation over finite fields

One can interpolate sparse polynomials over finite fields.

The latter algorithm fails over finite fields since eigen-values  $p_1^{s_1} \cdots p_n^{s_n}$  can coincide for different monomials, therefore the dimensions of eigen-spaces can be greater than 1.

## Interpolation of sparse rational functions

Rational function  $f$  is  $(t_1, t_2)$ -**sparse** if  $f = f_1/f_2$  where polynomial  $f_1$  (respectively,  $f_2$ ) is  $t_1$ -sparse (respectively,  $t_2$ -sparse).

Note that the irreducible representation of a rational function is not necessary sparse:  $1 + X + X^2 + \cdots + X^d = (X^{d+1} - 1)/(X - 1)$ .

For given  $f$ ,  $t$  the interpolation algorithm produces all the  $(t_1, t_2)$ -sparse representations of  $f$  with  $t_1 + t_2 \leq t$ .

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Rational function  $f$  is  $(t_1, t_2)$ -**sparse** if  $f = f_1/f_2$  where polynomial  $f_1$  (respectively,  $f_2$ ) is  $t_1$ -sparse (respectively,  $t_2$ -sparse).

Note that the irreducible representation of a rational function is not necessary sparse:  $1 + X + X^2 + \cdots + X^d = (X^{d+1} - 1)/(X - 1)$ .

For given  $f$ ,  $t$  the interpolation algorithm produces all the  $(t_1, t_2)$ -sparse representations of  $f$  with  $t_1 + t_2 \leq t$ .

# Further developments

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