

Model-Driven Computation of Disjunctive Normal Forms

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SYMBIONT PROJECT

Overview

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- 2 Example
- 3 The Calculus
- 4 Theorems
- 5 Benchmarks

Preliminaries

- SMT solving tests a first-order formula for satisfiability
- The formula can contain *free constants*
- It allows to produce a witness, called a *model*
- It requires a theory
- We use QF_LRA

Example

$\varphi = x > 0 \wedge x < 1$ is satisfiable in QF_LRA

$x = \frac{1}{2}$ is a witness

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$x = \frac{1}{2}$ is a witness

Example

$\varphi = x > 0 \wedge x < 1$ is not satisfiable in QF_LIA

Preliminaries: Distributive Normal Form

Definitions:

- Given a set P of *constraints*
- For $p \in P$, we call p and $\neg p$ *literals*
- For literals l_1, \dots, l_r , we call $l_1 \wedge \dots \wedge l_r$ a *conjunction*
- The empty conjunction is **true**
- For conjunctions $\gamma_1, \dots, \gamma_s$, we call $\gamma_1 \vee \dots \vee \gamma_s$ a *disjunctive normal form (DNF)*
- The empty disjunction is **false**
- A conjunction of disjunctions is called a *CDC-form*

Preliminaries: Tropical Equilibria

- Tropical polynomials are piecewise linear functions
- Operations are:
 - $a \oplus b := \min(a, b)$
 - $a \otimes b := a + b$
- Tropical polynomials can be interpreted as (convex) polyhedra, possibly unbounded
- Tropical equilibrium of a polynomial:
 - Sort monomials by sign into \mathcal{P} and \mathcal{N}
 - Tropicalize \mathcal{P} and \mathcal{N}
- Tropical equilibrium of a set of polynomials: intersection of tropical equilibria of polynomials

Example

$$x_1^2 + 3x_2 - x_2^3 - x_1x_2 = 0$$

$$x_1^2 + 3x_2 = x_2^3 + x_1x_2$$

⇓

$$\min(2a_1, 3 + a_2) = \min(3a_2, a_1 + a_2)$$

Example

$$\min(2a_1, 3 + a_2) = \min(3a_2, a_1 + a_2)$$



$$\begin{aligned} & (2a_1 = 3a_2 \wedge 2a_1 \leq 3 + a_2 \wedge 3a_2 \leq a_1 + a_2) \vee \\ & (2a_1 = a_1 + a_2 \wedge 2a_1 \leq 3 + a_2 \wedge a_1 + a_2 \leq 3a_2) \vee \\ & (3 + a_2 = 3a_2 \wedge 3 + a_2 \leq 2a_1 \wedge 3a_2 \leq a_1 + a_2) \vee \\ & (3 + a_2 = a_1 + a_2 \wedge 3 + a_2 \leq 2a_1 \wedge a_1 + a_2 \leq 3a_2) \end{aligned}$$

Example

$$\min(2a_1, 3 + a_2) = \min(3a_2, a_1 + a_2)$$



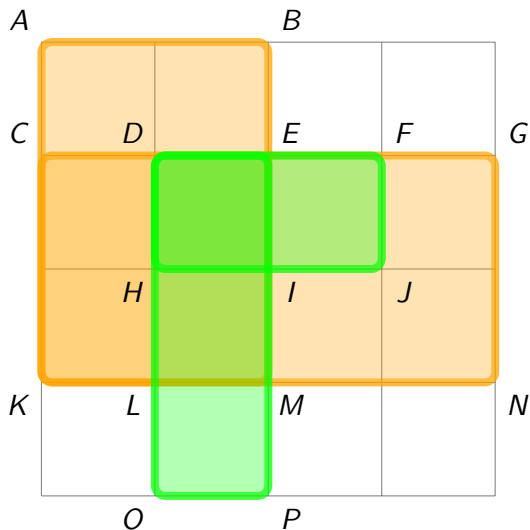
$$\begin{aligned} & (2a_1 = 3a_2 \wedge 2a_1 \leq 3 + a_2 \wedge 3a_2 \leq a_1 + a_2) \vee \\ & (2a_1 = a_1 + a_2 \wedge 2a_1 \leq 3 + a_2 \wedge a_1 + a_2 \leq 3a_2) \vee \\ & (3 + a_2 = 3a_2 \wedge 3 + a_2 \leq 2a_1 \wedge 3a_2 \leq a_1 + a_2) \vee \\ & (3 + a_2 = a_1 + a_2 \wedge 3 + a_2 \leq 2a_1 \wedge a_1 + a_2 \leq 3a_2) \end{aligned}$$

- The tropical equilibrium of a polynomial is a DNF
- The tropical equilibrium of a set of polynomials is a CDC-form

Example

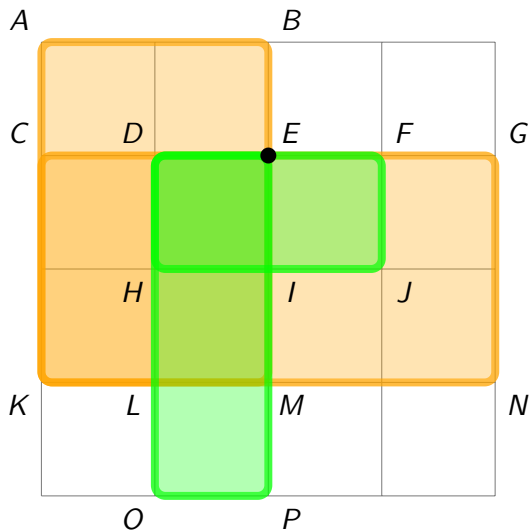
Example

Task: Compute the intersection of the orange and green sections



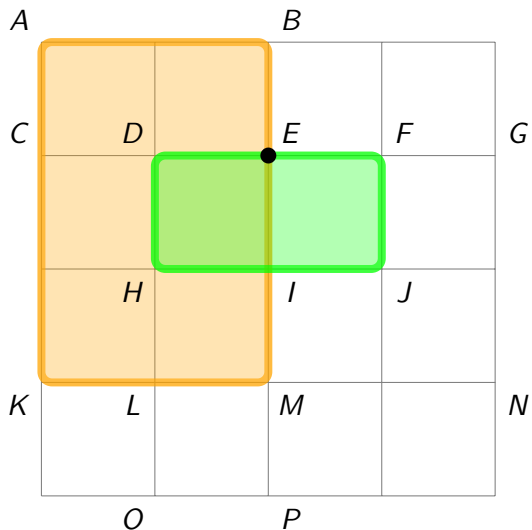
Example

Step 1: SMT solver produces a witness: point E



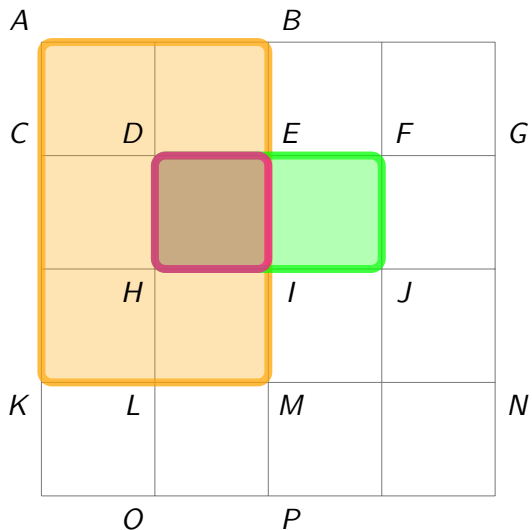
Example

Step 2: For each color, find a DNF that contains the point



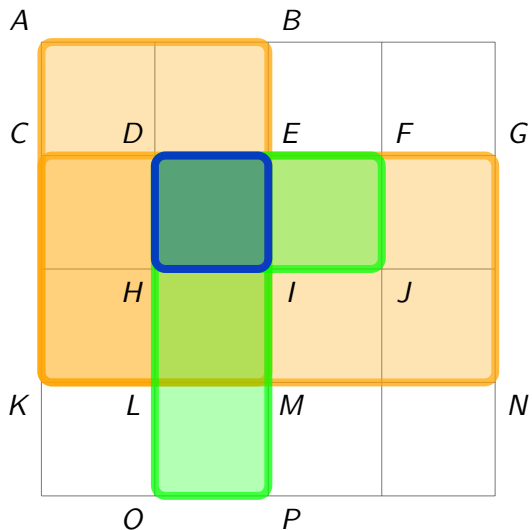
Example

Step 3: Intersect the found DNFs



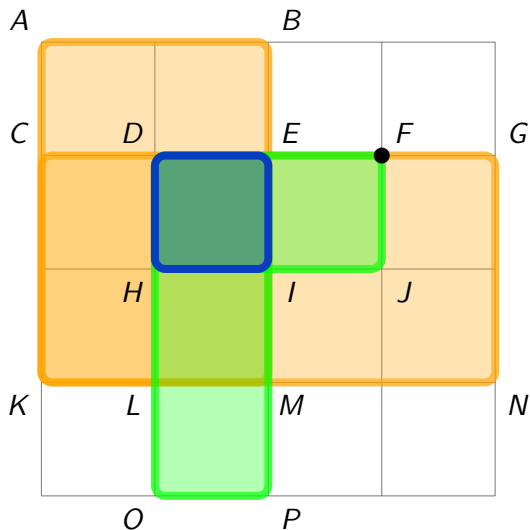
Example

Step 4: Exclude the intersection of DNFs



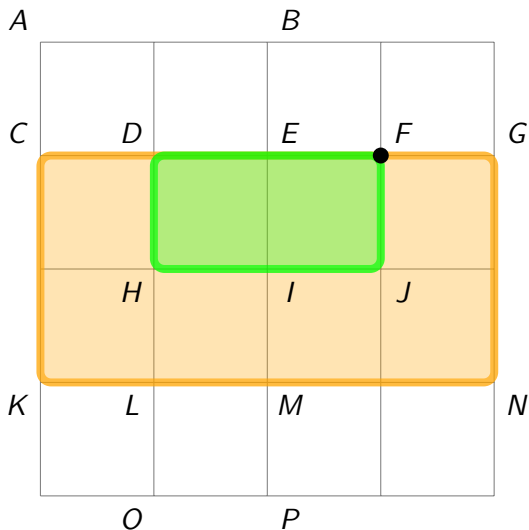
Example

Step 1, pass 2: SMT solver produces a witness: point F



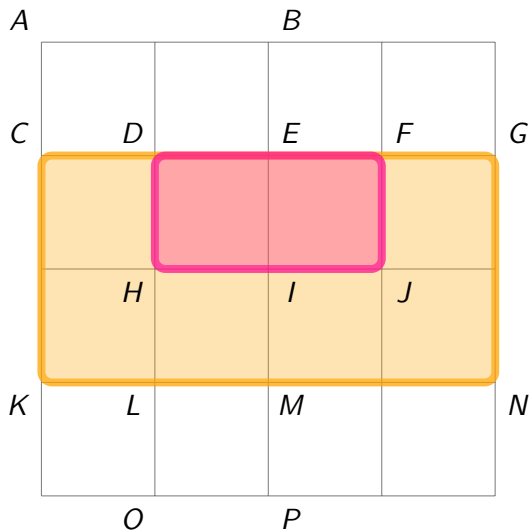
Example

Step 2, pass 2: For each color, find a DNF that contains the point



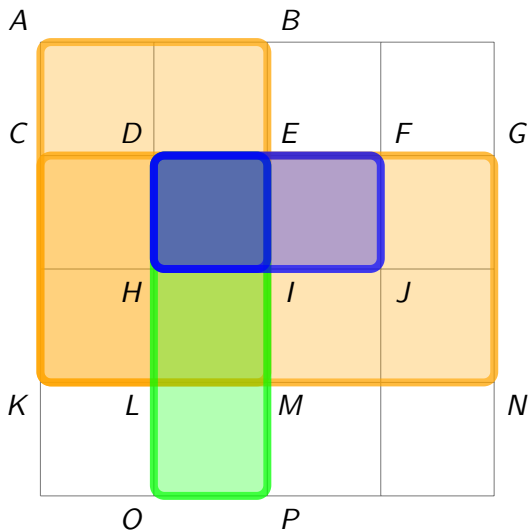
Example

Step 3, pass 2: Intersect the found DNFs



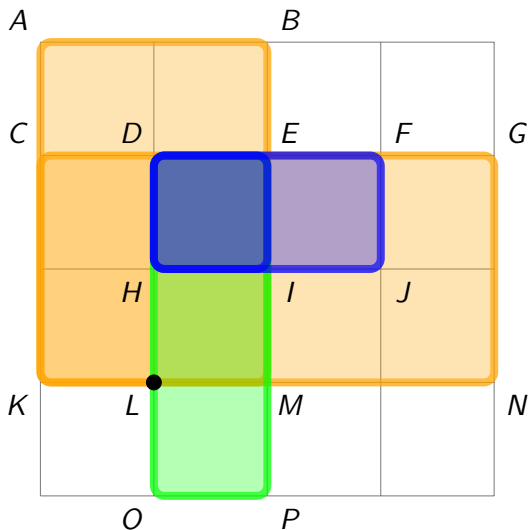
Example

Step 4, pass 2: Exclude the intersection of DNFs



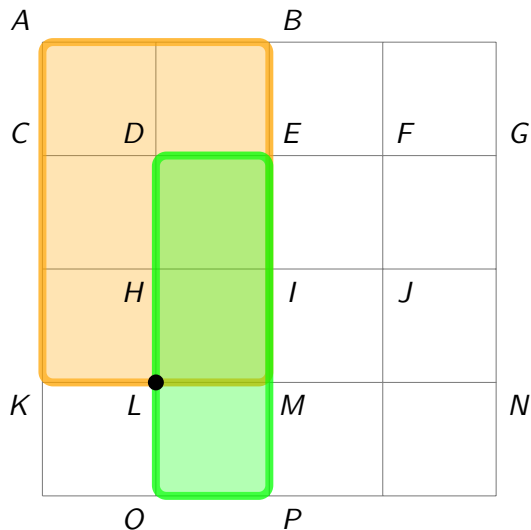
Example

Step 1, pass 3: SMT solver produces a witness: point L



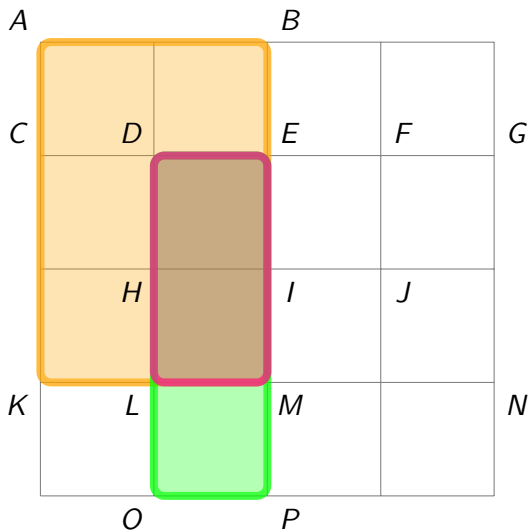
Example

Step 2, pass 3: For each color, find a DNF that contains the point



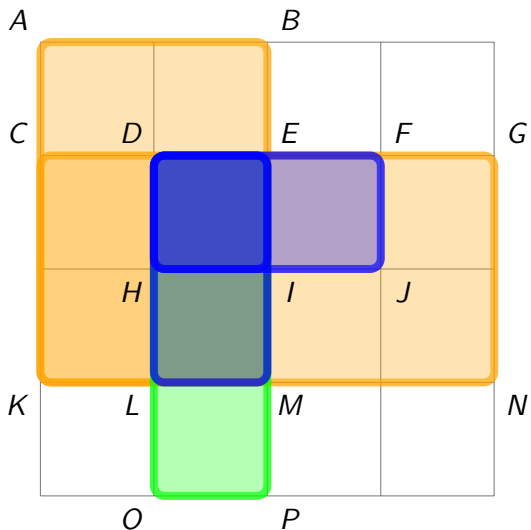
Example

Step 3, pass 3: Intersect the found DNFs



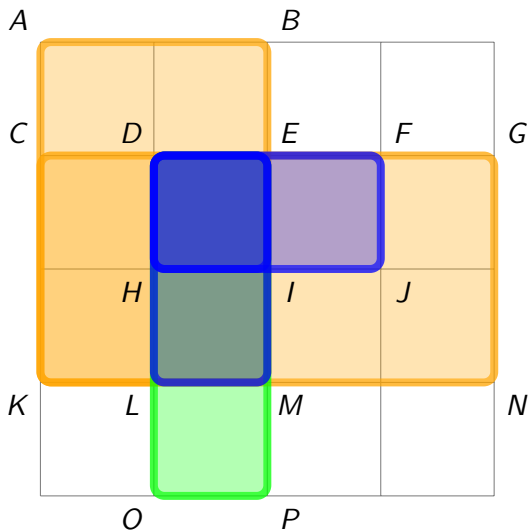
Example

Step 4, pass 3: Exclude the intersection of DNFs



Example

Step 1, pass 4: SMT solver cannot produce a witness: **unsat!**



The Calculus

The Calculus

- A *state* is a 4-tuple $(\varphi, \delta, \psi, \zeta)$, where φ is a formula, δ is a DNF, ψ is a formula or \perp , and ζ is a DNF or \perp
- The input is the CDC-form

$$\varphi = \bigwedge_{i \in \mathcal{I}} \bigvee_{j \in \mathcal{J}_i} \gamma_{i,j}$$

- The initial state is

$$S_0 := (\varphi_0, \text{false}, \perp, \perp)$$

- If no rules are applicable, an end state $(\varphi, \delta_{\text{end}}, \psi, \zeta)$ is reached with δ_{end} as the output

The Calculus, cont'd

Filter:

$$(\varphi, \delta, \perp, \perp) \implies (\varphi, \delta, \psi_0, \text{false})$$

$$\text{where } \varphi = \varphi_0 \wedge \varphi_*, \quad \psi_0 = \bigwedge_{i \in \mathcal{I}} \bigvee_{j \in \mathcal{S}(\varphi_0, M, i)} \gamma_{i,j}$$

if φ is sat with $M \models \varphi$

Add Clause:

$$(\varphi, \delta, \psi, \zeta) \implies (\varphi, \delta, \psi \wedge \neg \gamma', \zeta \vee \gamma')$$

$$\text{where } \psi = \psi_0 \wedge \psi^*, \quad \psi_0 = \bigwedge_{i \in \mathcal{I}} \bigvee_{j \in \mathcal{S}(\varphi_0, M, i)} \gamma_{i,j}, \quad \gamma' = \bigwedge_{i \in \mathcal{I}} \gamma_{i, \mathcal{S}(\psi_0, N, i)}$$

if $\psi \neq \perp$, $\zeta \neq \perp$, and ψ is sat with $N \models \psi$

Entailment:

$$(\varphi, \delta, \psi, \zeta) \implies (\varphi, \delta, \psi, \zeta')$$

$$\text{where } \zeta = \gamma_1 \vee \dots \vee \gamma_s \vee \gamma', \quad \zeta' = \gamma_1 \vee \dots \vee \gamma_{k-1} \vee \gamma_{k+1} \vee \dots \vee \gamma_s \vee \gamma'$$

if $\psi \neq \perp$, $\zeta \neq \perp$, and $\gamma_k \longrightarrow \gamma'$ is sat

Combine:

$$(\varphi, \delta, \psi, \zeta) \implies (\varphi \wedge \neg \zeta, \delta \vee \zeta, \perp, \perp)$$

if $\psi \neq \perp$, $\zeta \neq \perp$, and ψ is unsat

Theorems

Theorem (Termination)

The calculus terminates. In other words, there are no infinite derivations

$$S_0 \vdash S_1 \vdash \dots$$

Proposition (Invariant of the calculus)

Consider a derivation

$$S_0 \vdash^* T.$$

$S_0 = (\varphi_0, \text{false}, \cdot, \cdot)$ is the initial state, where φ_0 is the input formula.

$T = (\varphi, \delta, \cdot, \cdot)$ is a state. Then

$$\varphi \vee \delta \equiv \varphi_0.$$

Theorem (Soundness)

The calculus is sound. In other words, let φ_0 be an input CDC-form and let δ_{end} be an output DNF. Consider a terminating derivation

$$S_0 \vdash^* T,$$

where $S_0 = (\varphi_0, \cdot, \cdot, \cdot)$ is the initial state and $T = (\cdot, \delta_{\text{end}}, \cdot, \cdot)$ is a terminating state. Then

$$\varphi_0 \equiv \delta_{\text{end}}.$$

Proposition (Minimality)

Consider a derivation

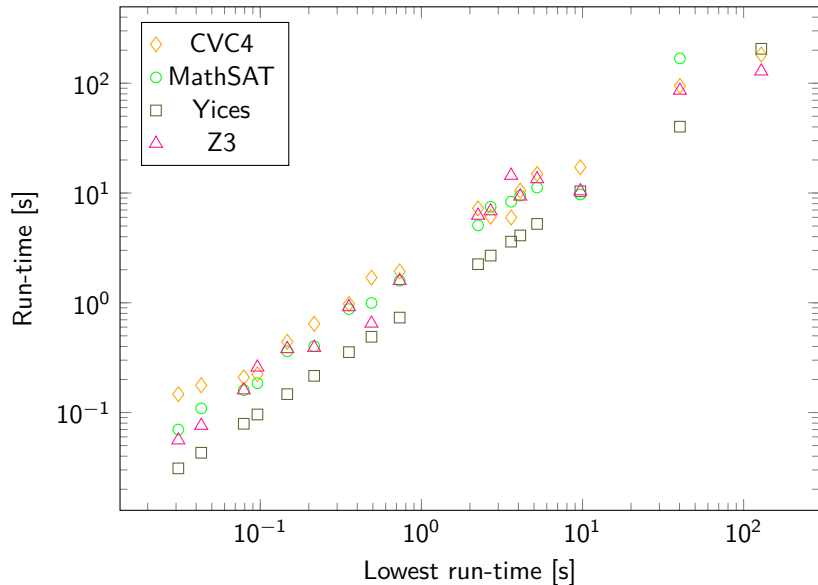
$$S_0 \vdash^* V,$$

where **Entailment** is applied whenever applicable. S_0 denotes the initial state. $V = (\cdot, \delta, \cdot, \cdot)$ is a state. δ is a DNF and has the form $\bigvee_k \gamma_k$. Let $\ell, m \in \mathbb{N}$ such that $\ell \neq m$. Then

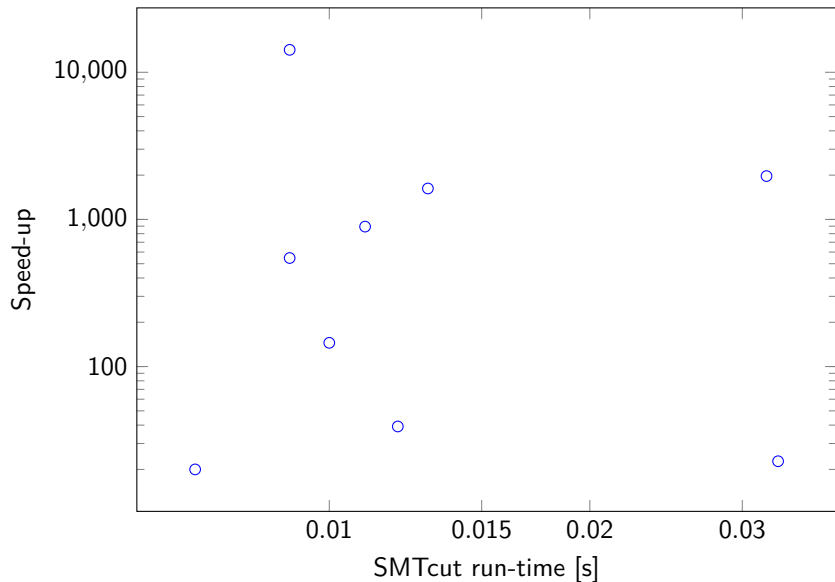
$$\gamma_\ell \not\ll \gamma_m.$$

Benchmarks

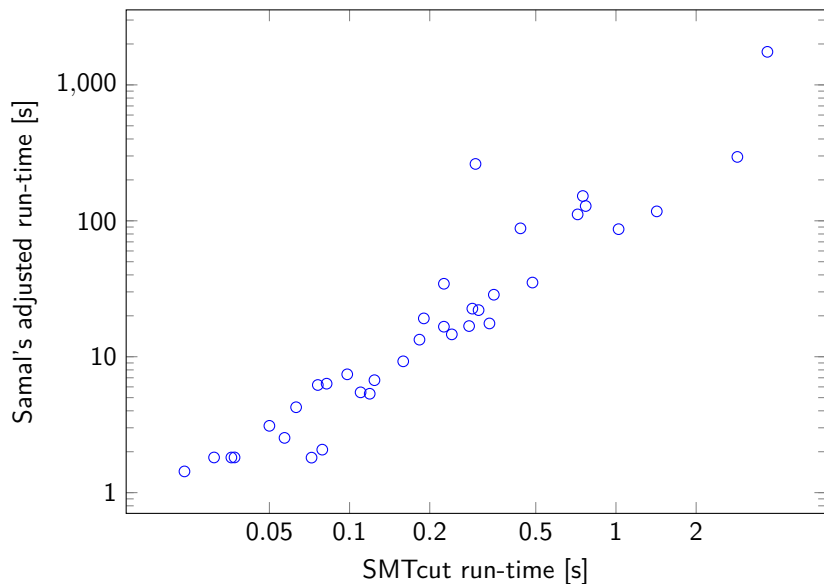
Benchmarks: Different Solvers



Benchmarks: SMTcut vs. Redlog



Benchmarks: SMTcut vs. Samal 2016



Thank you for your attention!

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